

PATENT
Application of FOLEY et al.
Ser. No. 09/412,408
Attorney Docket No. 3524/

#14/NB
7-17-02
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : FOLEY et al.
Serial No. : 09/412,408
Filed : 5 October 1999
Title : ELECTRONIC TRADING SYSTEM SUPPORTING
ANONYMOUS NEGOTIATION AND INDICATORS OF
INTEREST
Examiner : HEWITT, C. Group Art Unit: 3621

Box RESPONSE NO FEE
Commissioner for Patents
Washington, D.C. 20231

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RESPONSE TO OFFICE ACTION
and Summary of Interview

This paper is filed in response to the Office Action mailed herein 15 April 2002 and to summarize the interview conducted between Examiner Hewitt and Applicants and Applicants' representatives on 20 June 2002 at Examiner Hewitt's office.

REMARKS

Claims 1 - 29 are pending in the application. Claims 1 - 15 are rejected under 35 USC § 103 as obvious in light of Silverman et al., US 5,924,082, in view of Korhammer et al. US 6,278,982; and claims 16 - 29 are rejected under 35 USC § 103 as obvious in light of Silverman et al., US 5,924,082, in view of McCausland et al., US 5,243,331.

Applicants thank the Examiner for his attention during the interview conducted 20 June 2002, which was attended by the undersigned, by Frank J. DeRosa, another attorney of record herein, and by Paolo Ramundo (Reg. No.